

The cardinality of closed sets in pre-complete classes in k -valued logics

By J. DEMETROVICS and L. HANNÁK

Introduction

Let $E_k = \{0, 1, \dots, k-1\}$. By a k -valued function we shall mean a function $f: E_k^n \rightarrow E_k$, and by P_k we denote the set of all those functions. If A is a subset of P_k , $[A]$ will stand for the set of all superpositions over A . (The definition of a superposition over A is the following:

1. $f \in A$ is a superposition over A .

2. If $g_0(x_1, \dots, x_n), g_1(x_{11}, \dots, x_{1m_1}), \dots, g_n(x_{n1}, \dots, x_{nm_n})$ are either superpositions over A or $g_i(x_{i1}, \dots, x_{im_i}) = x_j$ ($i=1, \dots, n$) then $g_0(g_1(x_{11} \dots x_{1m_1}), \dots, g_n(x_{n1}, \dots, x_{nm_n}))$ is a superposition over A .)

The set $A \subset P_k$ is closed if $A = [A]$. We call A complete if $[A] = P_k$. The closed set \mathcal{M} is precomplete if $\mathcal{M} \not\subseteq A \subseteq P_k$ implies $[A] = P_k$. I. ROSENBERG [8] has given a complete description of the precomplete classes in P_k . In order to formulate his theorem we need some definitions. An h -ary relation R is a subset of E_k^h . If g is an n -ary k -valued function and R is an h -ary relation we say that f preserves R if $(f(x_1^1, \dots, x_1^n), \dots, f(x_h^1, \dots, x_h^n)) \in R$ whenever $(x_1^1, \dots, x_h^1) \in R, \dots, (x_1^n, \dots, x_h^n) \in R$ an h -ary relation R is called central if it fulfils the following conditions:

1. $(a_1, \dots, a_h) \in R$ whenever not all of a_1, \dots, a_h are distinct,

2. for each permutation π of $1, 2, \dots, h$, $(a_1, \dots, a_h) \in R$ if and only if $(a_{\pi(1)}, \dots, a_{\pi(h)}) \in R$,

3. $\emptyset \neq \bigcap_{(a_1, \dots, a_{h-1}) \in E_k^{h-1}} \{c | (a_1, \dots, a_{h-1}, c) \in R\} \neq E_k$.

For $a \in E_k$ we denote by $[a]_l$ the l -th digit ($l=0, \dots, m-1$) in the expansion $a = \sum_{l=0}^{m-1} [a]_l \cdot h^l$ of a in the scale of h .

We may now state the theorem of Rosenberg as follows:

There are δ types of precomplete classes in P_k and every proper closed subset of P_k is contained in at least one precomplete class.

This δ types are the following:

1. \mathcal{M}_μ the set of all functions which preserve a partial order μ of E_k with greatest and least element.

2. S_π , the set of all functions which preserve the graph of a nonidentical permutation π where π is the product of cycles with the same prime length.

3. L_σ the set of all functions which preserve the quaternary relation

$$\sigma = \{(a_1, a_2, a_3, a_4) / a_1 + a_2 = a_3 + a_4\}$$

where $\langle E_k, + \rangle$ is an elementary Abelian p -group.

4. K_θ , the set of all functions which preserve the non trivial equivalence-relation θ of E_k^2 .

5. C_ρ , the set of all functions which preserve the h -ary central relation ρ ($1 \leq h \leq k$).

6. H_R , the set of all functions which preserve the relation R , where R is for some h ($3 \leq h \leq k$) and for some surjection $\Phi: E_k \rightarrow E_{h^m}$ the h -ary relation

$$|\{[\Phi(x_1)]_l, \dots, [\Phi(x_n)]_l\}| < h \quad \text{for } l = 0, \dots, m-1.$$

(Such a relation R is called h -regular.)

If A is a closed subset of P_k , $v(A)$ will denote the cardinality of the set of all closed sets contained in A . Let us denote by c the cardinality of the continuum.

JU. I. JANOV and A. A. MUČNIK [5] have proved that $v(P_k) = c$ for $k > 2$. The general result of E. POST [10] implies that $v(P_2) = \aleph_0$.

It is a natural question to determine $v(A)$ when A is a precomplete class. In this paper we shall prove the following three statements:

I. if $k > 2$ and M is a precomplete class of type 1., 4., 5., or 6. then $v(M) = c$,

II. if $k > 2$ then $v(S_\pi) \cong \aleph_0$ for all precomplete classes of type 2.,

III. $v(S_\sigma) = c$ if k is not prime.

The precomplete class L_σ was investigated by many authors. A. SALOMAA [8] J. DEMETROVICS and J. BAGYINSZKI ([2] and [3]) proved $v(L_\sigma) < \aleph_0$ in the case if k is prime. J. BAGYINSZKI [1] and A. SZENDREI [9] showed that if k is square-free then there are finitely many closed linear classes in P_k . A. SALOOMA [8] proved, that $v(L_\sigma) \cong \aleph_0$ if k is not square-free and D. LAU [7] showed that $v(L_\sigma) = \aleph_0$ in this case.

1. §.

The proof of the first statement is based on the construction of JU. I. JANOV and A. A. MUČNIK [5]. They have proved, that the set of functions $\{g_i\}$ defined by

$$g_i(x_1, \dots, x_i) = \begin{cases} b & \text{if } |\{j | x_j = c\}| = i \text{ or} \\ & |\{j | x_j = b\}| = 1 \text{ and} \\ & |\{j | x_j = c\}| = i-1 \\ a & \text{in all other cases} \end{cases}$$

has the property

$$g_i \notin \left[\bigcup_{j \neq i} g_j \right]$$

(a, b and c are pairwise distinct fixed elements of $E_k, k > 2$).

Let μ be a fixed partial order of E_k , let a be its least element, c its greatest one and $a < b < c$ such that $\{x | b < x < c\} = \emptyset$. In this case every g_i preserves μ , that is $v(M_\mu) = c$. If θ is a non-trivial equivalence, then we can choose $a \neq b$ such that $a \equiv b(\theta)$. Let c be an arbitrary element of E_k ($c \neq a, c \neq b$). Since $g_i(x_1, \dots, x_n) \in \{a, b\}$ all g_i preserve θ and $v(K_\theta) = c$. If ϱ is a central relation of E_k then g_i preserves ϱ whenever a is an element of the centre of ϱ . Hence $v(C_\varrho) = c$.

If R is an h -regular relation, then we can choose arbitrary distinct elements a, b, c . Every g_i preserves every h -regular relation of E_k .

Thus we have proved

Theorem 1. If $k > 2$ then

$$v(M_\mu) = c$$

$$v(K_\theta) = c$$

$$v(C_\varrho) = c$$

$$v(H_R) = c$$

for all μ, θ, ϱ, R defined in I. ROSENBERG's theorem.

A permutation of E_k , π can be written as a product of disjoint cycles. Such a cycle will be denoted by c_i . If

$$\pi = c_1 \dots c_n \quad \text{and} \quad c_i = (a_{i1}, \dots, a_{im_i})$$

then $\{c_i\}$ will denote the set $\{a_{i1}, \dots, a_{im_i}\}$.

Lemma 1. Let $k \geq 3$, π be a permutation in the form $\pi = c_1 \dots c_m$. If $m > 1$ and there are $i, j \leq m$ such that $i \neq j$,

$$|\{c_i\}| = k_1, \quad |\{c_j\}| = k_2 \quad \text{and} \quad k_1 | k_2 \quad (k_1 \text{ divides } k_2)$$

then a set of closed classes of cardinality c preserving π can be constructed.

Proof. We can assume, that

$$c_1 = (0, \dots, a_n), \quad c_2 = (1, 2, \dots, a_m) \quad \text{and} \quad |\{c_1\}| | |\{c_2\}|.$$

May be that $\{c_1\} = \{0\}$ or $\{c_2\} = \{1, 2\}$.

Let $m \geq 3$ and

$$g_m(a_1, \dots, a_m) = \begin{cases} b \in c_2, & \text{if } \{a_1, \dots, a_m\} \subset \{c_2\} \text{ and } |\{j | a_j = b\}| = 1 \text{ and} \\ & \text{all } a_j \neq b \text{ is equal to } \pi^{-1}(b), \\ d \in c_1, & \text{if } \{a_1, \dots, a_m\} \subset \{c_1\} \cup \{c_2\} \text{ and the previous} \\ & \text{condition does not hold,} \\ a_1 & \text{in all other cases.} \end{cases}$$

One can easily see, that since $|\{c_1\}| | |\{c_2\}|$, $g_m(x_1, \dots, x_m)$ preserves π .

We shall prove that $g_m \notin \left[\bigcup_{m \neq j} g_j \right] = G_m$ for all $m \geq 3$. Let us suppose that $g_k \in G_k$ i.e.

$$g_k(x_1, \dots, x_k) = \mathfrak{A}(x_1, \dots, x_k)$$

where \mathfrak{A} is a superposition over G_k . Let $g_s(x_{i_1}, \dots, x_{i_s})$ be a function in \mathfrak{A} . If $s < k$ then we can find an x_l such that $x_l \notin \{x_{i_1}, \dots, x_{i_s}\}$. If we choose $x_l = 1$ and $x_1 = x_2, \dots, \dots, x_{l-1} = x_{l+1}, \dots, x_k = 2$ then, by the definition, $g_k(x_1, \dots, x_k) = 1$, and $g_s(x_{i_1}, \dots, x_{i_s}) \in c_1$ that is $\mathfrak{A} \neq 1$ holds. (All g_m preserve the set $\{c_1\} \cup \{c_2\}$ and $\{a_1, \dots, a_m\} \cap c_1 \neq \emptyset$, $\{a_1, \dots, a_m\} \subset \{c_1\} \cup \{c_2\}$ imply $g_m(a_1, \dots, a_m) \in c_1$. If $s > k$ then we have at least one pair

$$x_{i_k}, x_{i_l} \text{ such that } i_k = i_l.$$

Let $x_{i_k} = x_{i_l} = 1$ and all $x_j = 2$ with $j \neq i_k$. In this case we have also $g_s(x_{i_1}, \dots, x_{i_s}) \in c_1$ and $g_k(x_1, \dots, x_k) = 1$, which is a contradiction. Thus Lemma 1 is proved.

As a corollary of Lemma 1 we obtain

Theorem 2. If $k > 2$ and k is not prime then $v(S_\pi) = c$ for all precomplete classes S_π .

Lemma 2. Let $k > 2$, and π be a permutation which contains at least one cycle of length $q \geq 3$. Then a set of closed classes of cardinality c preserving π can be constructed.

Proof. We will give a set of functions $\{t_i\}$ such that $t_k \notin [\bigcup_{i>k} t_i] = T_k$ and t_i preserves π .

Let

$$t_m(a_1, \dots, a_m) = \begin{cases} b & \text{if } (a_1, \dots, a_m) = (b, b, \dots, b) \text{ or} \\ & (a_1, \dots, a_{j-1}, a_{j+1}, \dots, a_m) = (b, b, \dots, b) \\ & \text{and } a_j = \pi^{-1}(b) \\ \pi^{-1}(b) & \text{if } \{a_1, \dots, a_m\} \in \{\pi^{-1}(b), b\}^m \\ & \text{and } |\{j | a_j = b\}| \leq m-1 \\ a_1 & \text{in all other cases.} \end{cases}$$

(b is an element of a cycle which has the length $q \geq 3$).

The definition implies that t_m preserves π , and $t_m(\{\pi^{-1}(b), b\}^m) \in \{\pi^{-1}(b), b\}$. A vector $a = (a_1, \dots, a_m)$ is called characteristic if

$$|\{j | a_j = b\}| = m-1$$

and

$$|\{j | a_j = \pi^{-1}(b)\}| = 1.$$

Let us suppose that $t_m(x_1, \dots, x_m) = \mathfrak{A}$ where \mathfrak{A} is a superposition over T_m . In this case we can choose a formula \mathfrak{A}^* such that $\mathfrak{A}^* = t_s(\mathfrak{B}_1, \dots, \mathfrak{B}_s)$, \mathfrak{A}^* equals b on all characteristic vectors and for every \mathfrak{B}_i there is a characteristic vector a^i such that $\mathfrak{B}_i(a^i) \neq b$. (I.e. \mathfrak{A}^* is "minimal".)

By the assumption we have $s > m$. Let v^k denote the characteristic vector with $x_k = \pi^{-1}(b)$. Consider the matrix

$$\begin{vmatrix} \mathfrak{B}_1(v^1) & \dots & \mathfrak{B}_s(v^1) \\ \mathfrak{B}_1(v^2) & \dots & \mathfrak{B}_s(v^2) \\ \vdots & & \vdots \\ \mathfrak{B}_1(v^k) & \dots & \mathfrak{B}_s(v^k) \end{vmatrix}$$

By the "minimality" of \mathfrak{U}^* every column of the matrix contains at least one occurrence of $\pi^{-1}(b)$. $s > m$ implies that at least one row of the matrix contains two or more occurrence of $\pi^{-1}(b)$. If the l -th row contains at least twice $\pi^{-1}(b)$ then $\mathfrak{U}^*(v^l) = \pi^{-1}(b)$ which is a contradiction as $t_m(v^j) = b$ for all $j \in \{1, 2, \dots, m\}$. Thus Lemma 2 is proved.

As an immediate consequence of Lemmas 2 and 1 we have

Theorem 3. If $k \geq 3$ then for all precomplete classes S_π , $v(S_\pi) \cong \aleph_0$ holds. If k is not prime, then $v(S_\pi) = c$.

COMPUTER AND AUTOMATION INSTITUTE
HUNGARIAN ACADEMY OF SCIENCES
KENDE U. 13-17.
BUDAPEST, HUNGARY
H-1502

References

- [1] BAGYINSZKI, J., *The lattice of closed classes of linear functions defined over a finite ring of square-free order*, K. Marx Univ. of Economics, Dept. of Math., Budapest, v. 2, 1979.
- [2] DEMETROVICS, J., J. BAGYINSZKI, *The lattice of linear classes in prime valued logics*, Banach Center Publications, Warsaw, PWN, v. 8, 1979, in press.
- [3] BAGYINSZKI, J., J. DEMETROVICS, *Lineáris osztályok szerkezete prímszám értékű logikában*, Közl. — MTA Számítástech. Automat. Kutató Int. Budapest, v. 16, 1976, pp. 25—53.
- [4] JABLONSKII, S. V., *Functional constructions in k -valued logics*, (Russian) *Trudy Mat. Inst. Steklov.*, v. 51, 1958, pp. 5—142.
- [5] JANOV, JU. I., A. A. MUČNIK, *Existence of k -valued closed classes without a finite basis*, (Russian) *Dokl. Akad. Nauk. USSR*, v. 127, 1959, pp. 44—46.
- [6] LAU, D., *Über die Anzahl von abgeschlossenen Mengen von linearen Funktionen der n -wertigen Logik*, *Elektron. Informationsverarb. Kybernet.*, v. 14, 1978, pp. 567—569.
- [7] SALOMAA, A. A., *On infinitely generated sets of operation in finite algebras*, *Ann. Univ. Turku. Ser. A. I.*, v. 74, 1964, pp. 1—12.
- [8] ROSENBERG, I. G., *Structure des fonctions de plusieurs variables sur un ensemble fini*, *C. R. Acad. Sci. Paris*, v. 260, 1965, pp. 3817—3819.
- [9] SZENDREI, A., *On closed sets of linear operations over a finite set of square-free cardinality*, *Elektron. Informationsverarb. Kybernet.*, v. 14, 1978, pp. 547—559.
- [10] POST, E., *Introduction to a general theory of elementary propositions*, *Amer. J. Mat.*, v. 93, 1921, pp. 163—185.

(Received April 3, 1979)