

On the interaction between closure operations and choice functions with applications to relational databases*

János Demetrovics[†] Gusztáv Hencsey[†] Leonid Libkin[‡]
Ilya Muchnik[§]

Abstract

A correspondence between closure operations and special choice functions on a finite set is established. This correspondence is applied to study functional dependencies in relational databases.

1 Introduction

Having been introduced in connection with some topological problems, *closure operations* were applied in various branches of mathematics. In the last years they were successfully applied to study so-called *functional dependencies* (FDs for short) in relational databases. Now we recall some definitions and facts; they can be found in [DK],[DLM1].

Let $U = \{a_1, \dots, a_n\}$ be a finite set of *attributes* (e.g. name, age etc.) and $W(a_i)$ the domain of a_i . Then a subset $R \subseteq W(a_1) \times \dots \times W(a_n)$ is called a *relation over U*.

A *functional dependency* (FD) is an expression of form $X \longrightarrow Y$, $X, Y \subseteq U$. We say that FD $X \longrightarrow Y$ holds for a relation R if for every two elements of R with identical projections onto X , the projections of these elements onto Y also coincide. According to [Ar], the family \mathcal{F} of all FD's that hold for R satisfies the properties (F1)-F4):

- (F1) $(X \longrightarrow X) \in \mathcal{F}$;
(F2) $(X \longrightarrow Y) \in \mathcal{F}$ and $(Y \longrightarrow V) \in \mathcal{F}$ imply $(X \longrightarrow V) \in \mathcal{F}$;

*Research partially supported by Hungarian National Foundation for Scientific Research, Grant 2575.

[†]Computer and Automation Institute, P.O.Box 63, Budapest H-1518, Hungary; E-mail: h935dem@ella.hu and h103hen@ella.hu.

[‡]Department of Computer and Information Science, University of Pennsylvania, Philadelphia PA 19104, USA; E-mail: libkin@saui.cis.upenn.edu.

[§]24 Chestnut St., Waltham MA 02145, USA; E-mail: ilya@darwin.bu.edu.

- (F3) $(X \rightarrow Y) \in \mathcal{F}$ and $X \subseteq V, W \subseteq Y$ imply $(V \rightarrow W) \in \mathcal{F}$;
 (F4) $(X \rightarrow Y) \in \mathcal{F}$ and $(V \rightarrow W) \in \mathcal{F}$ imply $(X \cup V \rightarrow Y \cup W) \in \mathcal{F}$.

Conversely, given a family of FD's satisfying (F1)-(F4) (so-called *full family*), there is a relation R over U generating exactly this family of FD's, see [Ar] and also [BDFS] for a constructive proof.

We shall write a_i instead of $\{a_i\}$ throughout the paper. Let R be a relation over $U, X \subseteq U$ and put $L_R(X) = \{a \in U \mid X \rightarrow a \text{ holds for } R\}$. Then L_R satisfies

- (C1) $X \subseteq L_R(X)$;
 (C2) $X \subseteq Y \implies L_R(X) \subseteq L_R(Y)$;
 (C3) $L_R(L_R(X)) = L_R(X)$,

i.e. L_R is a *closure operation*. Note that the properties (C1)-(C3) may be concisely expressed as $X \subseteq L_R(Y)$ iff $L_R(X) \subseteq L_R(Y)$. Given a closure L (sometimes we shall omit the word "operation"), there is a relation R over U with $L = L_R$, see [De1].

A set $X \subseteq U$ is called *closed* (w.r.t. a closure L) if $L(X) = X$. Let $Z(L)$ stand for the family of all closed sets w.r.t. L . Then

- (S1) $U \in Z(L)$,
 (S2) $X, Y \in Z(L)$ implies $X \cap Y \in Z(L)$,

i.e. $Z(L)$ is a *semilattice*. Given a semilattice $Z \subseteq 2^U$ define $L(X) = \cap \{Y \mid X \subseteq Y, Y \in Z\}$. Then L is a closure with $Z(L) = Z$. Therefore, we can think of semilattices providing an equivalent description of closures and full families of FD's.

A closure is an *extensive operation* ($X \subseteq L(X)$). The operations satisfying the reverse inclusion (called *choice functions*) were also widely studied in connection with the theory of rational behaviour of individuals and groups, see [AM],[Ai],[Mo]. We give some necessary definitions.

A mapping $C : 2^U \rightarrow 2^U$ satisfying $C(X) \subseteq X$ for every $X \subseteq U$, is called a *choice function*. U is interpreted as a set of alternatives, X as a set of alternatives given to the decision-maker to choose the best and $C(X)$ as a choice of the best alternatives among X .

There were introduced some conditions (or properties) to characterize the rational behaviour of a decision-maker. The most important conditions are the following (see [AM],[Ai],[Mo]):

Heredity (\underline{H} for short):

$$\forall X, Y \subseteq U : X \subseteq Y \implies C(Y) \cap X \subseteq C(X);$$

Concordance (\underline{C} for short):

$$\forall X, Y \subseteq U : C(X) \cap C(Y) \subseteq C(X \cup Y);$$

Out casting (\underline{O} for short):

$$\forall X, Y \subseteq U : C(X) \subseteq Y \subseteq X \implies C(X) = C(Y);$$

Monotonicity (M for short):

$$\forall X, Y \subseteq U : X \subseteq Y \implies C(X) \subseteq C(Y).$$

Let P be a binary relation on U , i.e. $P \subseteq U \times U$. Let $C_P(X) = \{a \in X \mid (\exists b \in X : (b, a) \in P)\}$.

One of the central results of the theory of choice functions states that a choice function can be represented as C_P for some P iff it satisfies H and C.

Given a closure operation L , we can define choice functions $C(X) = L(U - X) \cap X$ and $C^L(X) = U - L(U - X)$. In Section 2 we characterize the choice functions of the second type as satisfying M and O. In the other sections we use this correspondence to transfer the properties of choice functions to closures and to apply them to the study of FD's. In Section.3 we use the logical representation of choice functions (see [VR],[Lil]) to construct a similar representation and characterization of closure operations.

In Section 4 new properties of closure operations are obtained and studied by new properties being added to M and O.

Finally, in the Section 5, we use choice functions to construct a structural representation for so-called *functional independencies* (cf. [Ja]) in the same way as closures were used to represent FD's.

2 The main correspondence

Let L be a closure operation. Define two choice functions associated with L as follows:

$$C_L(X) = L(U - X) \cap X,$$

$$C^L(X) = U - L(U - X), X \subseteq U.$$

Note that both C_L and C^L uniquely determine the closure L , in fact, $L(X) = X \cup C_L(U - X)$ and $L(X) = U - C^L(U - X)$. For every $X \subseteq U$ the sets $C_L(X)$ and $C^L(X)$ form a partition of X , i.e. $C_L(X) \cap C^L(X) = \emptyset$ and $C_L(X) \cup C^L(X) = X$.

Theorem 1 *The mapping $L \rightarrow C^L$ establishes a one-to-one correspondence between the closure operations and the choice functions satisfying O and M.*

Proof. Let L be a closure operation. We prove that C^L satisfies M and O.

Let $x \in C^L(X)$ and $X \subseteq Y$. Then $x \notin L(U - X)$ and since $U - Y \subseteq U - X$, we have $x \notin L(U - Y)$, i.e. $x \in C^L(Y)$. Hence, C^L satisfies M.

Let $X \subseteq U$. Then $L(L(U - X)) = L(U - X)$. Using $L(U - X) = U - C^L(X)$, we obtain that $U - C^L(U - (U - C^L(X))) = U - C^L(X)$, i.e. $C^L(C^L(X)) = C^L(X)$. Now let $C^L(X) \subseteq Y \subseteq X$; Since C^L satisfies M , $C^L(C^L(X)) \subseteq C^L(Y) \subseteq C^L(X)$ and $C^L(X) = C^L(Y)$. Therefore, C^L satisfies \underline{O} .

Let C be a choice function satisfying \underline{O} and \underline{M} . Consider $L(X) = U - C(U - X)$. We prove that L is a closure. Clearly, $X \subseteq L(X)$. If $X \subseteq Y$ and $x \in L(X)$, then $x \notin C(U - X)$ and $x \notin C(U - Y)$, i.e. $x \in L(Y)$. Since C satisfies O , $C(C(U - X)) = C(U - X)$. Applying $C(U - X) = U - L(X)$ we obtain $L(L(X)) = L(X)$. Hence, L is a closure and $C^L = C$.

To finish the proof, note that the mapping $L \rightarrow C^L$ is injective, because for two distinct closures L_1 and L_2 with $L_1(X) \neq L_2(X)$ one has $C^{L_1}(U - X) \neq C^{L_2}(U - X)$. The theorem is proved. \square

Let K be a property of choice functions. We say that a choice function C satisfies \overline{K} if its complement \overline{C} satisfies K . (The complementary function \overline{C} of C is defined as follows: $\overline{C}(X) = X - C(X)$ for $X \subseteq U$.)

Corollary 1 *The mapping $L \rightarrow C_L$ establishes one-to-one correspondence between the closure operations and the choice functions satisfying \underline{H} and \underline{O} .*

Proof. It follows from the facts that C_L and C^L are complementary choice functions and that $\underline{H} = \overline{\underline{M}}$, $\underline{M} = \overline{\underline{H}}$, see [Ai]. \square

3 On logical representation of closure operations and choice functions

The family of all choice functions on U equipped with the operations \cup, \cap and $\bar{}$, is a Boolean algebra. Logical representation of the choice functions was introduced in [VR] to show that this Boolean algebra is isomorphic to one consisting of tuples of n Boolean functions, each depending on at most $n - 1$ variables.

Let $U = \{a_1, \dots, a_n\}$, $X \subseteq U$. Define

$$\beta_i(X) = \begin{cases} 1, & a_i \in X, \\ 0, & a_i \notin X, \end{cases}$$

$$\begin{aligned} \beta^i(X) &= (\beta_1(X), \dots, \beta_{i-1}(X), \beta_{i+1}(X), \dots, \beta_n(X)) \text{ and} \\ \beta^z(X) &= (\beta_{i_1}(X), \dots, \beta_{i_k}(X)) \end{aligned}$$

where $\{a_{i_1}, \dots, a_{i_k}\} = U - Z$ and $i_1 < \dots < i_k$.

Definition [VR]. *A family $\langle f_1^C, \dots, f_n^C \rangle$ of Boolean functions, each depending on $n - 1$ variables, is called a first logical form of a choice function C if for every $a_i \in U$ and $X \subseteq U$:*

$$a_i \in C(X) \text{ iff } a_i \in X \text{ and } f_i^C(\beta^i(X)) = 1.$$

Definition [L11]. A family $\langle f_\emptyset^C, \dots, f_U^C \rangle$ of Boolean functions indexed by subsets of U , is called a second logical form of a choice function C if for every $Z, X \subseteq U$:

$$Z = C(X) \text{ iff } Z \subseteq X \text{ and } f_Z^C(\beta^Z(X)) = 1.$$

Note that f_Z^C depends on $n - |Z|$ variables.

Each logical form uniquely determines a choice function. By [VR], every tuple of Boolean functions, each depending on $n - 1$ variables, is a first logical form of some choice function, moreover, $C \rightarrow \langle f_1^C, \dots, f_n^C \rangle$ is an isomorphism of Boolean algebras.

A family $\langle f_\emptyset, \dots, f_U \rangle, f_Z$ depends on $n - |Z|$ variables, is a second logical form of some choice function iff for each $Z \subseteq U$ the set $\{f_Z(\beta^Z(X)) : Z \subseteq X\}$ contains a unique one.

Let L be an operation satisfying (C1), i.e. $X \subseteq L(X)$ for all $X \subseteq U$. We can introduce two logical forms as before.

Definition. A family $\langle f_1^L, \dots, f_n^L \rangle$ of Boolean functions, each depending on at most $n - 1$ variables, is called a first logical form of L if for every $a_i \in U$ and $X \subseteq U$:

$$a_i \in L(X) \text{ iff } a_i \in X \text{ or } f_i^L(\beta^i(X)) = 1.$$

Let $Z = \{a_{i_1}, \dots, a_{i_k}\}, i_1 < \dots < i_k$, and $\beta_Z(X) = (\beta_{i_1}(X), \dots, \beta_{i_k}(X))$.

Definition. A family $\langle f_\emptyset^L, \dots, f_U^L \rangle$ of Boolean functions indexed by subsets of U , f_Z^L depends on $|Z|$ variables, is called second logical form of L if for every $Z, X \subseteq U$:

$$Z = L(X) \text{ iff } X \subseteq Z \text{ and } f_Z^L(\beta_Z(X)) = 1.$$

We use these logical forms to characterize the closure operations among all the operations satisfying (C1).

Theorem 2 Let L satisfy (C1). Then L is a closure operation iff all the functions $f_i^L, i = 1, \dots, n; f_Z^L, Z \subseteq U$, are monotonic.

Proof. Since $a_i \in L(X)$ iff $a_i \in X$ or $a_i \in C_L(U - X)$, we have $f_i^L(\beta^i(X)) = f_i^{C_L}(\beta^i(U - X))$, i.e. $\overline{f_i^L} = (f_i^{C_L})^*$, where f^* stands for the dual function. Analogously, we obtain that $\overline{f_Z^L} = (f_{U-Z}^{C_L})^*$ (note that f_Z^L and $f_{U-Z}^{C_L}$ depend on the same variables). Since $f_i^{C_L} = \overline{f_i^{C_L}}$ theorem 1 and the following facts imply the theorem: (1) C^L satisfies \underline{M} iff all the functions $f_i^{C^L}, i = 1, \dots, n$, are monotonic (cf. [VR]);

(2) C^L satisfies \underline{Q} iff all the functions $\bar{f}_Z^{C^L}$, $Z \subseteq U$, are monotonic (cf. [Li1]). The theorem is proved. \square

Remark. A set of attributes $X \subseteq U$ is called a *candidate key* (w.r.t. a relation R) if $L_R(X) = U$ and for every $Y \subset X$: $L_R(Y) \neq U$. The problem of finding the candidate keys (or a candidate key) is one of the most important problems in the theory of relational databases, see e.g. [BDFS],[De2]. According to the previous theorem, the candidate keys are exactly the lower units of monotonic function $f_U^{L,R}$. Hence, we can apply a recognition algorithm for monotonic Boolean functions to construct an algorithm of finding the candidate keys. (Note that if we are given a set of FD's, we can calculate a value $f_U^{L,R}$ in polynomial time in the size of the set of FDs. However, the problem of finding all the candidate keys is NP-hard, see [BDFS]).

Some other aspects of the applications of recognition of monotonic Boolean functions to the study of choice functions satisfying \underline{M} and \underline{Q} (and, hence, closure operations) can be found in [Li2].

4 On the properties of closures induced by the properties of choice functions

In this section we consider the closures for which choice functions C_L and C^L defined in Section 2 satisfy some additional properties. Note that in the theory of choice functions such properties are usually studied in some fixed combinations. These combinations explain the use of C_L and C^L . E.g., the property \underline{C} (Concordance) is usually studied together with \underline{H} (see [Ai], [AM],[Mo],[Li1]). Thus, studying this property we consider C_L (moreover, the property \underline{C} implies monotonicity and there is no reason to consider C^L).

Property \underline{C} . As it was mentioned, we consider the functions C_L .

Let L be a closure and \mathcal{F}_L a corresponding full family of FD's. Recall that an FD $X \rightarrow Z$ is called *nontrivial* [De2],[DLM1] if $X \cap Z = \emptyset$. Let P_6 stand for the (Post) class consisting of conjunctions and constants, cf. [Po].

Proposition 1 *Let L be a closure operation on U . The following are equivalent:*

- 1) C_L satisfies the property \underline{C} ;
- 2) $L(X) \cap L(Y) - (X \cup Y) \subseteq L(X \cap Y)$ for all $X, Y \subseteq U$;
- 3) If $X \rightarrow Z$ and $Y \rightarrow Z$ are nontrivial FD's from \mathcal{F}_L , then $X \cap Y \rightarrow Z \in \mathcal{F}_L$;
- 4) $(X \rightarrow a) \in \mathcal{F}_L$ iff $U - \{a, b\} \rightarrow a$ for all $b \notin X$, where $a \notin X$;
- 5) For all $i = 1, \dots, n$: $f_i^L \in P_6$.

Proof. 1 \rightarrow 2. Let C_L satisfy \underline{C} . Then for all $X, Y \subseteq U$: $C_L(U - X) \cap C_L(U - Y) \subseteq C_L(U - X \cap Y)$. Using $C_L(Z) = L(U - Z) \cap Z$ we obtain $L(X) \cap L(Y) - (X \cup Y) \subseteq L(X \cap Y) - (X \cap Y)$. Hence, 2 hold s.

2 \rightarrow 3. Let $X \rightarrow Z$ and $Y \rightarrow Z$ be nontrivial FD's from \mathcal{F}_L . Then so are $X \rightarrow a$ and $Y \rightarrow a$ for all $a \in Z$. Since $a \in L(X) \cap L(Y) - (X \cup Y)$, we have that $a \in L(X \cap Y)$, i.e. $X \cap Y \rightarrow a \in \mathcal{F}_L$. Then by (F4) $X \cap Y \rightarrow Z \in \mathcal{F}_L$.

3 \rightarrow 1. Let 3) hold and $a \in C_L(X) \cap C_L(Y)$, $X, Y \subseteq U$. Then $U - X \rightarrow a \in \mathcal{F}_L$ and $U - Y \rightarrow a \in \mathcal{F}_L$ and both FD's are nontrivial. Hence, $U - (X \cup Y) \rightarrow a \in \mathcal{F}_L$ and $a \in L(U - (X \cup Y))$. Since $a \in (X \cup Y)$, we have $a \in C_L(X \cup Y)$. Therefore, C_L satisfies \underline{C} .

1 \leftrightarrow 4. Let $a \notin X$. Then $X \rightarrow a \in \mathcal{F}_L$ iff $a \in C_L(U - X)$, and $U - \{a, b\} \rightarrow a \in \mathcal{F}_L$ iff $a \in C_L(\{a, b\})$. Hence, 4) is equivalent to: $a \in C_L(Z)$ iff $a \in C_L(\{a, b\})$ for all $b \in Z$. According to [AM],[Mo] the last property holds iff C_L satisfies \underline{C} .

1 \leftrightarrow 5. Since C_L satisfies \underline{H} , it satisfies \underline{C} iff all the functions $f_i^{C_L i} = 1, \dots, n$ can be represented as \vec{f}^* , where $f \in P_6$, see [VR],[Li1]. Since $f_i^L = f_i^{C_L}$, we have that C_L satisfies \underline{C} iff $f_i^L \in P_6$ for all i . The proposition is proved. □

Property of submission. This property was introduced in [Li1] as a dual form of \underline{C} . We say that a choice function satisfies the submission property (\underline{S} for short) if

$$\forall X, Y \subseteq U : C(X \cap Y) \subseteq C(X) \cup C(Y).$$

Recall that a closure is called *topological* if $L(X \cup Y) = L(X) \cup L(Y)$ for all $X, Y \subseteq U$.

Let S_6 stand for the class of Boolean functions consisting of disjunctions and constants, cf. [Po].

Proposition 2 *Let L be a closure operation. Then the following are equivalent:*

- 1) C_L satisfies \underline{S} ;
- 2) L is a topological closure;
- 3) $X \rightarrow a \in \mathcal{F}_L$ iff $b \rightarrow a \in \mathcal{F}_L$ for some $b \in X$;
- 4) For all $i = 1, \dots, n : f_i^L \in S_6$.

Proof. 1 \rightarrow 2. Let C_L satisfy \underline{S} . Then for all $X, Y \subseteq U : L(X \cup Y) = X \cup Y \cup C_L(U - X \cup Y) = X \cup Y \cup C_L((U - X) \cap (U - Y)) \subseteq (X \cup C_L(U - X)) \cup (Y \cup C_L(U - Y)) = L(X) \cup L(Y)$. Since (C2) holds, $L(X) \cup L(Y) \subseteq L(X \cup Y)$, i.e. L is topological.

2 \rightarrow 1. Let L be topological. Then for all $X, Y \subseteq U : C_L(X \cap Y) = L(U - X \cap Y) \cap X \cap Y = L((U - X) \cup (U - Y)) \cap X \cap Y \subseteq (L(U - X) \cup L(U - Y)) \cap X \cap Y \subseteq (L(U - X) \cap X) \cup (L(U - Y) \cap Y) = C_L(X) \cup C_L(Y)$, i.e. C_L satisfies \underline{S} .

2 \leftrightarrow 3. It was proved in [DLM2].

1 \leftrightarrow 4. According to [Li1], C_L satisfies \underline{S} iff for all $i = 1, \dots, n : (f_i^{C_L})^* \in S_6$, i.e. iff $f_i^L \in S_6$. The proposition is proved. □

The topological closures are known to have simple matrix representations. Consider two binary relations P_L and T_L on U as follows:

$(a_i, a_j) \in P_L$ iff every closed subset X (w.r.t. L) either contains a_j or does not contain a_i .

$(a_i, a_j) \in T_L$ iff $a_j \in L(a_i)$.

For a closure L , P_L is a reflexive relation. Given a reflexive relation P suppose that $L(X)$ is the intersection of all $Y \supseteq X$ such that for all $(a_i, a_j) \in P$ either $a_i \notin Y$ or $a_j \in Y$. Then L thus constructed is a topological closure with $P_L = P$, see [DLM2].

For a topological closure L , T_L is a transitive binary relation. Conversely, given a transitive binary relation T , define $L(X) = X \cup \{a \in U \mid \exists b \in X : (b, a) \in T\}$. Then L is a topological closure with $T_L = T$. Moreover, T_L is the minimal transitive binary relation containing P_L , see [DLM2].

It is known that the choice functions satisfying \underline{H} and \underline{S} can be represented by binary relation as follows [Lil]:

$$C^P(X) = \{a \in X \mid \exists b \in X : (b, a) \in P \implies \exists c \notin X : (c, a) \in P\}.$$

Hence, P thus constructed can be considered as a representation of a topological closure with $C_L = C^P$.

Proposition 3 $C_L = C^{T_L}$ holds for any topological closure L .

Proof. Let $a \in X$. Since T_L is reflexive, $a \in C^{T_L}(X)$ iff for some $c \notin X : (c, a) \in T_L$, i.e. iff $a \in L(c)$. Since L is topological, the last is equivalent to $a \in L(U - X) \cap X$, i.e. $a \in C_L(X)$. □

Property of multi-valued concordance. This property also has been introduced in [Lil] in order to be studied together with the property \underline{Q} .

A subset of $U \times 2^U$ was called in [AM] a *hyper-relation*. We will call a hyper-relation *correct* [Lil] if for every $X \subseteq U$ there is a unique $Y \subseteq X$ such that for all $a \in X - Y$ the pairs (a, Y) belong to the hyper-relation.

Proposition 4 Let L be a closure operation. Then the following are equivalent:

1. C^L satisfies the property of multivalued concordance, i.e. if $Z = C^L(X) = C^L(Y)$ then $Z = C^L(X \cup Y)$;
2. For all $X, Y \subseteq U : L(X) = L(Y)$ implies $L(X) = L(X \cap Y)$;
3. For all $Z \subseteq U : f_Z^L \in P_\delta$;
4. For all $X \subseteq U : C^L(X) = Y$, where $(a, Y) \in D$ for all $a \in X - Y$ and D is a correct hyper-relation.

Proof. The equivalence of 1 and 2 is evident. The equivalences $1 \longleftrightarrow 3$ and $1 \longleftrightarrow 4$ follow from [Lil]. □

5 Structural representation of functional independencies

Let R be a relation over U . We say that a *functional independency* (FID for short) $X \longrightarrow Y$ holds for R if there are two elements of R with coinciding projections onto X and distinct projections onto Y (i.e. $\text{FD } X \longrightarrow Y$ does not hold), see [Ja]. A review of properties of FID's can be found in [Ja]. In this section we construct the representations of FID's via operations on a power set and semilattices.

Let R be a relation and $\mathcal{F}I_R$ the family of all FID's that hold for R . A family $\mathcal{F}I$ of FID's is called *full* if for some relation R one has $\mathcal{F}I = \mathcal{F}I_R$.

Given a full family $\mathcal{F}I$, define for $X \subseteq U$ $C_{\mathcal{F}I}(X) = \{a \in X \mid (U - X) \longrightarrow a \in \mathcal{F}I\}$. Conversely, given a choice function C , define a family of FID's $\mathcal{F}I_C$ as follows:

$$X \longrightarrow Y \in \mathcal{F}I_C \text{ iff } Y \subseteq C(U - X).$$

Let C be a choice function. Define $\mathcal{L}(C) = \{X \subseteq U \mid C(X) = X\}$. For a join-semilattice \mathcal{L} , ($\mathcal{L} \subseteq 2^U, \emptyset \in \mathcal{L}, X, Y \in \mathcal{L} \implies X \cup Y \in \mathcal{L}$) define $C_{\mathcal{L}}$ as follows:

$$C_{\mathcal{L}}(X) = \cup\{Y \mid Y \subseteq X, Y \in \mathcal{L}\}.$$

Theorem 3 a) *The mappings $\mathcal{F}I \longrightarrow C_{\mathcal{F}I}$ and $C \longrightarrow \mathcal{F}I_C$ establish mutually inverse one-to-one correspondences between full families of FID's and choice functions satisfying \underline{M} and \underline{O} .*

b) *The mappings $C \longrightarrow \mathcal{L}(C)$ and $\mathcal{L} \longrightarrow C_{\mathcal{L}}$ establish mutually inverse one-to-one correspondences between choice functions satisfying \underline{M} and \underline{O} and join-semilattices.*

Proof. a) Let $\mathcal{F}I = \mathcal{F}I_R$ be a full family of FID's. Then $a \in C_{\mathcal{F}I}(X)$ iff $a \notin L_R(U - X)$, i.e. $C_{\mathcal{F}I}(X) = U - L_R(U - X)$ and C satisfies \underline{O} and \underline{M} by theorem 1.

Let C satisfy \underline{O} and \underline{M} . Then $C = C^L$ for some closure L , and $X \longrightarrow Y \in \mathcal{F}I_C$ iff $Y \cap L(X) = \emptyset$, i.e. $(X \longrightarrow Y) \notin \mathcal{F}_L$. Hence $\mathcal{F}I_C$ is a full family. Moreover, $a \in C_{\mathcal{F}I_C}(X)$ iff $(U - X) \longrightarrow a \in \mathcal{F}I_C$ iff $a \in C(X)$. Part a is proved.

b) Let L be a closure. Then $\mathcal{L}(C^L) = \{X \subseteq U \mid C^L(X) = X\} = \{X \subseteq U \mid L(U - X) = U - X\} = \{X \subseteq U \mid U - X \in Z(L)\}$. Hence, part b follows from theorem 1 and the well-known correspondence between (meet)-semilattices and closure operations, see [DK],[DLM1]. The theorem is proved. □

The last question to be considered is as follows: when is a full family of FID's also a full family of FD's? In other words, when is a closure operation $L(X) = X \cup \{a \notin X \mid X \longrightarrow a \in \mathcal{F}I_R\}$?

Proposition 5 *Let R be a relation over U . Then the following are equivalent:*

1. $L(X) = X \cup \{a \notin X \mid X \longrightarrow a \in \mathcal{F}I_R\}$ is a closure operation;

2. There is $Z \subseteq U$ such that $L_R(X) = X \cup Z$ for all $X \subseteq U$.

Proof. Let $L(X) = X \cup \{a \notin X \mid X \longrightarrow a \in \mathcal{F}I_R\}$ be a closure. Then C^L satisfies \underline{H} (see theorem 1) and since C^L satisfies \underline{M} we have that for some $V \subseteq U : C^L(X) = X \cap V$ for all $X \subseteq U$, see [AM]. Therefore, for $Z = U - V$ one has $L_R(X) = X \cup Z$.

Conversely, if L_R is as in 2, then $L(X) = X \cup \{a \notin X \mid X \longrightarrow a \in \mathcal{F}I_R\} = X \cup \{a \notin X \mid X \longrightarrow a \notin \mathcal{F}_R\}$ is obviously a closure operation. The proposition is proved. □

References

- [Ai] M.A. Aizermann, New problems in the general choice theory (Review of a research trend), J. Social Choice and Welfare 2 (1985), 235-282.
- [AM] M.A. Aizerman and A.V. Malishevski, General theory of best variants choice: Some aspects, IEEE Trans. Automat. Control 26 (1981), 1030-1041.
- [Ar] W.W. Armstrong, Dependency structure of data-base relationship, Information Processing 74, North Holland, Amsterdam, (1974), 580-583.
- [BDFS] C. Beeri, M. Dowd, R. Fagin and R. Statman, On the structure of Armstrong relations for functional dependencies, J. ACM 31 (1984), 30-46.
- [De1] J. Demetrovics, Candidate keys and antichains, SIAM J. Alg. Disc. Meth. 1 (1980), 92.
- [De2] J. Demetrovics, On the equivalence of candidate keys with Sperner systems, Acta Cybernetica 4 (1979), 247-252.
- [DK] J. Demetrovics and G.O.H. Katona, Extremal combinatorial problems of database models, MFDBS 87, Springer LNCS 305 (1988), 99-127.
- [DLM1] J. Demetrovics, L.O. Libkin and I.B. Muchnik, Functional dependencies and the semilattice of closed classes, MFDBS 89, Springer LNCS 364 (1989), 136-147.
- [DLM2] J. Demetrovics, L.O. Libkin and I.B. Muchnik, Closure operations and database models (in Russian) to appear in Kibernetika, Kiev.
- [Ja] J. M. Janas, Covers for functional independencies, MFDBS 89, Springer LNCS 364(1989), 254-268.
- [Li1] L.O. Libkin, Algebraic methods for construction and analysis of the choice function classes (in Russian), Individual Choice and Fuzzy Sets, Trudy VNIISI, Moscow 14(1987), 46-53.

- [Li2] L.O. Libkin, Recognition of choice functions (in Russian), *Automatika i Telemekhanika*, 1988, No. 10, p. 128-132. English Translation in: *J. Automation and Remote Control*.
- [Mo] H. Moulin, Choice functions over a finite set: A summary. IMA Preprint series, University of Minnesota, Minneapolis, 1984.
- [Po] E. Post, *Two-valued Iterative Systems*, 1941.
- [VR] T.M. Vinogradskaja and A.A. Rubchinski, Logical forms of choice functions (in Russian), *Dokl. Akad. Nauk. SSR* 254(1980), 1362-1366. English Translation in: *Soviet Math. Dokl.*

Received February 1, 1990.