

Right group-type automata*

I. Babcsányi †

A. Nagy†

Abstract

In this paper we deal with state-independent automata whose characteristic semigroups are right groups (left cancellative and right simple). These automata are called right group-type automata. We prove that an A-finite automaton is state-independent if and only if it is right group-type. We define the notion of the right zero decomposition of quasi-automata and show that the state-independent automaton \mathbf{A} is right group-type if and only if the quasi-automaton \mathbf{A}_S^* corresponding to \mathbf{A} is a right zero decomposition of pairwise isomorphic group-type quasi-automata. We also prove that the state-independent automaton \mathbf{A} is right group-type if and only if the quasi-automaton \mathbf{A}_S^* corresponding to \mathbf{A} is a direct sum of pairwise isomorphic strongly connected right group-type quasi-automata. We prove that if \mathbf{A} is an A-finite state-independent automaton, then $|S(\mathbf{A})|$ is a divisor of $|AS(\mathbf{A})|$. Finally, we show that the quasi-automaton \mathbf{A}_S^* corresponding to an A-finite state-independent automaton \mathbf{A} is a right zero decomposition of pairwise isomorphic quasi-perfect quasi-automata if and only if $|AS(\mathbf{A})| = |S(\mathbf{A})|$.

In his paper [5], A. C. Fleck introduced the notion of the characteristic semigroup of automata. This notion is a very useful tool for the examination of automata from semigroup theoretical aspects. In particular, it seems to be successful for state-independent automata. In this case the characteristic semigroup is left cancellative (Lemma 2). If a state-independent (quasi-) automaton is also A-finite, then its characteristic semigroup is a right group (see [9] or Lemma 3).

In 1966, Ch. A. Trauth ([8]) introduced the notion of the group-type automaton (state-independent automaton whose characteristic semigroup is a group) and characterized the quasi-perfect (strongly connected and group-type) automata. He proved that if \mathbf{A}_i ($i \in I$) is a family of quasi-perfect (quasi-) automata and G_i ($i \in I$) is the family of corresponding characteristic semigroups, then a quasi-perfect (quasi-) automaton \mathbf{A} is decomposable into an A-direct product of automata \mathbf{A}_i if and only if the characteristic semigroup of \mathbf{A} is a direct product of the groups G_i . In 1975, I. Babcsányi ([2]) dealt with the decomposition of group-type generated automata. He proved that every generated group-type quasi-automaton is a direct

*This work was lectured on Colloquium on Semigroups, Szeged, 15-19 August, 1994. Research was supported by Hungarian National Foundation for Scientific Research grant No 7608.

†Department of Mathematics, Transport Engineering Faculty, Technical University of Budapest, 1111 Budapest, Műegyetem rkp. 9., HUNGARY

sum of pairwise isomorphic quasi-perfect quasi-automata. In 1976, Y. Masunaga, S. Noguchi and J. Oizumi ([7]) proved that every strongly connected state-independent A-finite (quasi-) automaton is isomorphic to a A-direct product of a quasi-perfect (quasi-) automaton and a strongly connected reset (quasi-) automaton.

In this paper we extend the investigations to the (not necessarily A-finite) state-independent automata whose characteristic semigroups are right groups.

For notations and notions not defined here, we refer to [4] and [6].

Let $\mathbf{A} = (A, X, \delta)$ be an arbitrary automaton. We suppose that the transition function δ is extended to $A \times X^+$ (X^+ denotes the free semigroup over X) as usually, that is, $\delta(a, px) = \delta(\delta(a, p), x)$ ($p \in X^+, x \in X$). For brevity, let $\delta(a, p)$ be denoted by ap . For an arbitrary automaton $\mathbf{A} = (A, X, \delta)$, we consider the following quasi-automata $\mathbf{A}^* = (A, S(A), \delta^*)$ and $\mathbf{A}_S^* = (AS(A), S(A), \delta^*)$, where $S(A)$ is the characteristic semigroup of \mathbf{A} , δ^* is defined by $\delta^*(a, \bar{p}) = \delta(a, p)$ ($a \in A, p \in X^+$) and $AS(A) = \{\delta^*(a, s); a \in A, s \in S(A)\}$. \mathbf{A}_S^* will be called the *quasi-automaton corresponding to the automaton \mathbf{A}* .

Definition 1. An automaton or a quasi-automaton \mathbf{A} is called a (*right*) *group-type automaton* if it is state-independent and $S(A)$ is a (right) group.

It is clear that an automaton \mathbf{A} is state-independent if and only if \mathbf{A}^* is state-independent. As $S(A) \cong S(A^*)$, it follows that \mathbf{A} is a (right) group-type automaton if and only if \mathbf{A}^* is (right) group-type.

Definition 2. Let $\{S_e : e \in E\}$ be an E right zero semigroup decomposition of a semigroup S , that is, E is a right zero semigroup and S is a disjoint union of its subsemigroups $S_e, e \in E$ such that $S_e S_f \subset S_{ef} = S_f$, for every $e, f \in E$. We say that a quasi-automaton $\mathbf{A} = (A, S, \delta)$ is a *right zero decomposition of quasi-automata* $\mathbf{A}_e = (A_e, S_e, \delta_e)$ ($e \in E$) with $A_e \cap A_f = \emptyset$ for all $e \neq f \in E$, if $A = \cup_{e \in E} A_e$ and $AS_e = \{\delta(a, s) : a \in A, s \in S_e\} \subseteq A_e$.

Lemma 1. A state-independent automaton \mathbf{A} is right group-type if and only if the quasi-automaton \mathbf{A}_S^* corresponding to \mathbf{A} is right group-type.

Proof. Let \mathbf{A} be a state-independent automaton. Then \mathbf{A}^* and so \mathbf{A}_S^* is state-independent. Moreover, $S(A) \cong S(A^*) = S(A_S^*)$. If \mathbf{A} is right group-type, then \mathbf{A}_S^* is right group-type, too.

Conversely, let \mathbf{A}_S^* be right group-type. As \mathbf{A}^* is state-independent and $S(A^*) = S(A_S^*)$, we get that $S(A^*)$ is a right group. As $S(A) \cong S(A^*)$, the automaton \mathbf{A} is right group-type. \square

Theorem 1. A state-independent automaton \mathbf{A} is right group-type if and only if the quasi-automaton \mathbf{A}_S^* corresponding to \mathbf{A} is a right zero decomposition of pairwise isomorphic group-type quasi-automata.

Proof. Let the state-independent automaton \mathbf{A} be right group-type. Then, by Lemma 1, the quasi-automaton \mathbf{A}_S^* corresponding to \mathbf{A} is right group-type. Since $S(A_S^*)$ is a right group, it is a right zero semigroup E of its subgroups G_e , where $G_e = Ge$ for some subgroup G of $S(A_S^*)$. Let $A_e = AG_e, e \in E$. It is evident

that $\mathbf{A}_e = (A_e, G_e, \delta_e)$ are group-type quasi-automata. We show that $A_e \cap A_f = \emptyset$ if $e \neq f$. Let us suppose that $age = bhf \in A_e \cap A_f$ for some $a, b \in A$, $g, h \in G$ and $e, f \in E$. Then $agf = bhf$ from which it follows that $age = agf$. As \mathbf{A} is state-independent we have $e = f$. Hence $A_e = A_f$. It is evident that $AG_e \subseteq A_e$ and $A_S^* = \cup_{e \in E} A_e$. Consequently, \mathbf{A}_S^* is a right zero decomposition of the group-type quasi-automata \mathbf{A}_e , $e \in E$. To complete the proof we show that the quasi-automata \mathbf{A}_e , $e \in E$ are isomorphic with each other. Let $\alpha_{e,f} : A_e \rightarrow A_f$ and $\beta_{e,f} : G_e \rightarrow G_f$ defined by

$$\alpha_{e,f}(age) = agf, \quad \beta_{e,f}(ge) = gf, \quad a \in A, \quad g \in G.$$

It is easy to check that $(\alpha_{e,f}, \beta_{e,f})$ is an isomorphism of \mathbf{A}_e onto \mathbf{A}_f .

Conversely, assume that \mathbf{A}_S^* is a right zero decomposition of pairwise isomorphic group-type quasi-automata $\mathbf{A}_e = (A_e, G_e, \delta_e)$, $e \in E$. Then it is easy to see that $S(A_S^*)$ is a right group, and so, \mathbf{A}_S^* is right group-type. Therefore, by Lemma 1, we obtain that \mathbf{A} is right group-type. \square

The following example shows that if an automaton \mathbf{A} is right group-type then it is not necessarily a right zero decomposition of pairwise isomorphic group-type automata.

Example 1. Let the state-independent automaton $\mathbf{A} = (\mathbf{A}, \mathbf{X}, \delta)$ be the direct sum of the automata $\mathbf{A}_1 = (\mathbf{A}_1, \mathbf{X}, \delta_1)$ and $\mathbf{A}_2 = (\mathbf{A}_2, \mathbf{X}, \delta_2)$ ($(A_1 = \{1, 2, 3, 4, 5\}, A_2 = \{6, 7, 8, 9, 10, 11\}, X = \{x, y, z\})$) which are defined by the following transition tables:

\mathbf{A}_1	1 2 3 4 5
x	2 3 2 2 3
y	3 2 3 3 2
z	5 4 5 5 4

\mathbf{A}_2	6 7 8 9 10 11
x	7 8 7 7 8 7
y	8 7 8 8 7 8
z	10 9 10 10 9 10

The Cayley-table of the characteristic semigroup $S(A)$:

	\bar{x}	\bar{y}	\bar{z}	\bar{z}^2
\bar{x}	\bar{y}	\bar{x}	\bar{z}^2	\bar{z}
\bar{y}	\bar{x}	\bar{y}	\bar{z}	\bar{z}^2
\bar{z}	\bar{y}	\bar{x}	\bar{z}^2	\bar{z}
\bar{z}^2	\bar{x}	\bar{y}	\bar{z}	\bar{z}^2

The quasi-automaton \mathbf{A}_S^* is a direct sum of the quasi-automata \mathbf{A}_{1S}^* and \mathbf{A}_{2S}^* given by the following transition tables:

\mathbf{A}_{1S}^*	2 3 4 5
\bar{x}	3 2 2 3
\bar{y}	2 3 3 2
\bar{z}	4 5 5 4
\bar{z}^2	5 4 4 5

\mathbf{A}_{2S}^*	7 8 9 10
\bar{x}	8 7 7 8
\bar{y}	7 8 8 7
\bar{z}	9 10 10 9
\bar{z}^2	10 9 9 10

It is easy to check that A_S^* is right group-type and is a right zero decomposition of the group-type quasi-automata B_1 and B_2 given by the following transtion tables. (We note that $\{S(B_1), S(B_2)\}$ is a right zero semigroup decomposition of $S(A)$.)

B_1	2 3 7 8
\bar{x}	3 2 8 7
\bar{y}	2 3 7 8

B_2	4 5 9 10
\bar{z}	5 4 10 9
\bar{z}^2	4 5 9 10

Lemma 2. ([3]) *The characteristic semigroup of a state-independent quasi-automaton is left cancellative.*

Lemma 3. *An A-finite automaton is state-independent if and only if it is right group-type.*

Proof. Let A be an A-finite state-independent automaton. Then, by Lemma 2, $S(A)$ is a (finite) left cancellative semigroup. It is easy to show that $S(A)$ is also right simple. Hence $S(A)$ is a right group, that is A is a right group-type automaton. The converse statement follows from the definition. □

The following example shows that the assertion of Lemma 3 is not true in infinite case.

Example 2. Let $A = (A, X, \delta)$ be an automaton where A is the set of all positive integers, $X = \{x\}$ and δ is defined by $\delta(n, x) = n + 1$ ($n \in A$). It is easy to see that A is state-independent whose characteristic semigroup is an infinite cyclic semigroup.

Lemma 4. *Every group-type quasi-automaton A_S^* corresponding to a state-independent automaton A is a direct sum of pairwise isomorphic quasi-perfect quasi-automata.*

Proof. See Lemma 2 and Lemma 4 of [2]. □

The following theorem is a generalization of Lemma 4 for right group-type (quasi-) automata.

Theorem 2. *A state-independent automaton A is right group-type if and only if the quasi-automaton A_S^* corresponding to A is a direct sum of pairwise isomorphic strongly connected right group-type quasi-automata.*

Proof. Let the state-independent automaton A be right group-type. Then, by Lemma 1, the quasi-automaton A_S^* corresponding to A be right group-type. For an arbitrary $a \in AS(A)$, we consider the following A-subautomaton $A(a) = (A(a), S(A), \delta_a)$ of A_S^* , where $A(a) = \{as : s \in S(A)\}$. As $S(A)$ is a right group, therefore $A(a)$ is strongly connected. As every A-subautomaton of a state-independent (quasi-) automaton A is also state-independent such that its characteristic semigroup is $S(A)$, we get that $A(a)$ is a right group-type automaton. It is easy to see that $A(a) \cap A(b) \neq \emptyset$ implies $A(a) = A(b)$ for every $a, b \in AS(A)$. Moreover $as \rightarrow bs$ ($a, b \in AS(A)$, $s \in S(A)$) is an isomorphism of $A(a)$ onto $A(b)$.

Thus \mathbf{A}_S^* is a direct sum of the pairwise isomorphic different A -subautomata $\mathbf{A}(a)$. The converse statement of the theorem is evident. \square

We note that the quasi-automaton \mathbf{A}_S^* considered in Example 1 is a direct sum of isomorphic strongly connected right group-type quasi-automata \mathbf{A}_{1S} and \mathbf{A}_{2S} . It shows that the components of the direct sum are different from the components of the right zero decomposition.

Lemma 5. *If a quasi-automaton $\mathbf{A} = (A, S, \delta)$ is quasi-perfect, then $|A| = |S(A)|$ (see Lemma 6 and Theorem 3 of [1]).*

Corollary 1. *If \mathbf{A} is an A -finite state-independent automaton, then $|S(A)|$ is a divisor of $|AS(A)|$.*

Proof. Let \mathbf{A} be an A -finite state-independent automaton. Then, by Lemma 3, \mathbf{A} is right group-type. By Lemma 1 and Theorem 1, \mathbf{A}_S^* is a right zero decomposition of pairwise isomorphic group-type quasi-automata $\mathbf{A}_e = (A_e, G_e, \delta_e)$, $e \in E$. Then $|AS(A)| = |A_e||E|$ for arbitrary $e \in E$. By Lemma 4 and Lemma 5, $|A_e| = n|G_e|$ for some positive integer n . Hence $|AS(A)| = n|G_e||E| = n|S(A)|$. \square

Corollary 2. *The quasi-automaton \mathbf{A}_S^* corresponding to an A -finite state-independent automaton \mathbf{A} is a right zero decomposition of pairwise isomorphic quasi-perfect quasi-automata if and only if $|AS(A)| = |S(A)|$.*

Proof. Let the quasi-automaton \mathbf{A}_S^* corresponding to an A -finite state-independent automaton \mathbf{A} be a right zero decomposition of pairwise isomorphic quasi-perfect quasi-automata $\mathbf{A}_e = (A_e, G_e, \delta_e)$, $e \in E$. By Lemma 5, $|A_e| = |G_e|$. Hence $|AS(A)| = |S(A)|$.

Conversely, let \mathbf{A} be an A -finite state-independent automaton such that $|AS(A)| = |S(A)|$. By Lemma 3, \mathbf{A} is right group-type. Then, by Lemma 1 and Theorem 1, \mathbf{A}_S^* is a right zero decomposition of pairwise isomorphic group-type quasi-automata $\mathbf{A}_e = (A_e, G_e, \delta_e)$, $e \in E$. (Here $G_e = Ge$, for some subgroup G of $S(A)$, and $A_e = AG_e$.) It is sufficient to show that \mathbf{A}_e are strongly connected. It is evident that $|S(A)| = |G||E|$ and $|AS(A)| = |A_e||E|$, for every $e \in E$. Then $|A_e| = |G|$, for every $e \in E$. As \mathbf{A} is state-independent, we have $|aG_e| = |G| = |A_e|$, for every $e \in E$ and $a \in A_e$. From this it follows that \mathbf{A}_e is strongly connected, for every $e \in E$. \square

We note that the quasi-automata \mathbf{A}_{1S}^* and \mathbf{A}_{2S}^* in Example 1 satisfy the conditions of Corollary 2. For example, the quasi-perfect components of the right zero decomposition of \mathbf{A}_{1S}^* are:

$$\begin{array}{c|cc} \mathbf{A}_3 & 2 & 3 \\ \hline \bar{x} & 3 & 2 \\ \bar{y} & 2 & 3 \end{array} \qquad \begin{array}{c|cc} \mathbf{A}_4 & 4 & 5 \\ \hline \bar{z} & 5 & 4 \\ z^2 & 4 & 5 \end{array}$$

It is easy to check that these components are isomorphic.

References

- [1] Babcsányi, I., *A félperfekt kváziautomatákról (On quasi-perfect quasi-automata)*, Mat. Lapok, 21, 1970, 95–102 (in Hungarian with English summary)
- [2] Babcsányi, I., *Endomorphisms of group-type quasi-automata*, Acta Cybernetica, 2, 1975, 313–322
- [3] Babcsányi, I., *Characteristically free quasi-automata*, Acta Cybernetica, 3, 1977, 145–161
- [4] Clifford, A.H. and G.B. Preston, *The Algebraic Theory of Semigroups*, Amer. Math. Soc., Providence, I(1961), II(1967)
- [5] Fleck, A.C., *On the automorphism group of an automaton*, J. Assoc. Comp. Machinery, 12, 1965, 566–569
- [6] Gécseg, F. and I. Peák, *Algebraic Theory of Automata*, Akadémiai Kiadó, Budapest, 1972
- [7] Masunaga, Y., S. Noguchi and J. Oizumi, *A characterization of automata and a direct product decomposition*, Journal of Computer and System Sciences, 13, 1976, 74–89
- [8] Trauth, Ch. A., *Group-type automata*, J. Assoc. Comp. Mach., 13, 1966, 170–175
- [9] Watanabe, T. and S. Noguchi, *The amalgamation of automata*, Journal of Computer and System Sciences, 15, 1977, 1–16

Received January, 1995